# Best Available Copy

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau





(43) International Publication Date 22 January 2004 (22.01.2004)

**PCT** 

(10) International Publication Number WO 2004/008130 A1

- (51) International Patent Classification7: C12Q 1/00, 1/26
- G01N 27/327,
- (21) International Application Number:

PCT/GB2003/002901

- (22) International Filing Date:
- 4 July 2003 (04.07.2003)
- (25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

0216039.8

11 July 2002 (11.07.2002)

- (71) Applicant: HYPOGUARD LIMITED [GB/GB]; Dock Lane, Melton, Woodbridge IP12 1PE (GB).
- (72) Inventors: BLACK, Murdo, M.; Cowiehill, 72 Lour Road, Forfar, Angus DD8 2AZ (GB). BUTTERS, Colin; Holly Lodge, Bell Lane, Marlesford, Ipswich IP13 0AH (GB). HO, Wah, On; 2 Fuchsia Lane, Ipswich IP4 1OB (GB). RIPPETH, John; 44 Finbars Walk, Ipswich IP4 IHY (GB).

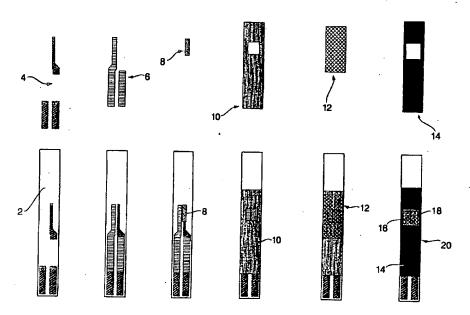
- (74) Agents: GEMMELL, Peter, Alan et al.; Dummett Copp, 25 The Square, Martlesham Heath, Ipswich IP5 3SL (GB).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD. SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### Published:

with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: ENZYME ELECTRODES AND METHOD OF MANUFACTURE



(57) Abstract: A non-mediated enzyme electrode comprises a base substrate (2) on which is provided an electrically conductive base layer (8) comprising finely divided platinum group metal or oxide bonded together by a resin; a top layer on the base layer (8), the top layer comprising a buffer. A catalytically active quantity of an oxidoreductase enzyme is provided in at least one of the base layer and the top layer. The invention also provides a biosensor (20) which includes an enzyme electrode, and methods of manufacturing the enzyme electrode and biosensor.

- 1 -

## ENZYME ELECTRODES AND METHOD OF MANUFACTURE

### BACKGROUND OF THE INVENTION

### 5 1. Field of the Invention

The present invention relates to enzyme electrodes for measuring analyte concentration in fluids, for example glucose in whole blood. Enzyme electrodes comprise an enzyme layered on or mixed with an electrically conductive substrate. The electrodes respond amperometrically to the catalytic activity of the enzyme in the presence of a suitable analyte (substrate). The invention also extends to a biosensor, notably a single-use biosensor, which includes the enzyme electrode.

### 2. Description of the Prior Art

Amperometric biosensors are well known in the art.

Typically the enzyme is an oxidoreductase, for example glucose oxidase, cholesterol oxidase, or lactate oxidase, which produces hydrogen peroxide according to the reaction:

25 analyte +  $O_2$ -[oxidase]  $\rightarrow$  oxidised product +  $H_2O_2$ .

The peroxide is oxidised at a fixed-potential electrode as follows:

30  $H_2O_2 \rightarrow O_2 + 2H^+ + 2e^-$ .

Electrochemical oxidation of hydrogen peroxide at the platinum centres on the electrode results in transfer of electrons from the peroxide to the electrode producing a current which is proportional to the analyte concentration. Where glucose is the analyte, the oxidised product is gluconolactone. Japanese Unexamined Patent Publication No. 56-163447 describes a system which employs glucose oxidase immobilised on a platinum electrode. electrode comprises a layer of immobilised enzyme on an 10 electrically conductive carbon base. The base is formed from moulded graphite containing up to 10 parts by weight of a fluorocarbon resin binder, onto which is deposited a thin (less than 1  $\mu$ m) platinum film. The invention is said to avoid the problems associated with the immobilisation of the enzyme directly onto the platinum surface and to produce an enzyme electrode having rapid response times (5 seconds), high sensitivity and durability. However, according to US Patent No. 4,970,145, recent experimental work with such electrodes has failed to elicit such benefits. 20

US Patent No. 4,970,145 describes an enzyme electrode comprising a substantially heterogeneous porous substrate consisting essentially of resin-bonded carbon or graphite particles with a platinum-group metal dispersed substantially uniformly throughout the substrate, and a catalytically active quantity of an enzyme adsorbed or immobilised onto the surfaces of the porous substrate. The electrodes are manufactured either by cross-linking the enzyme to the substrate, or by suspending the porous substrate in a buffered solution of the enzyme for 90

10

25

minutes at room temperature. Alternatively, adsorption of the enzyme to the electrode is effected by electroadsorption, wherein the electrode base material is suspended at a positive potential in an enzyme solution for 60 minutes. The electrode is said to have fast response times (1-2 seconds without a protective membrane, and 10 to 30 seconds with a membrane) and good stability. The working range is said to be extended, and the electrode requires a substantially lower operating potential than normal (325 mV against the more usual 650 mV) and exhibits low background at the operating potential.

US Patent No. 5,160,418 discloses a simplified enzyme

electrode comprising a thin film of a substantially
homogeneous blend of enzyme and finely-divided platinum
group metal or oxide. Optionally, platinised or
palladised finely-divided carbon or graphite may be used
and, also optionally, a binder. The film can be made by
screen-printing a liquid suspension containing the
components.

We have found that prior art systems such as described above have high intercepts relative to sensitivity, resulting in poor calibrated precision. We have also found that there is a gradual attenuation of sensitivity with time which is not necessarily related to enzyme instability.

30 As an alternative to measurement of an electrical signal following transfer of electrons from peroxide to the

electrode, some biosensors include an electron carrier, or "mediator" which, in an oxidised form, accepts electrons from the enzyme and then, in a reduced state, transports the electrons to the electrode where it becomes reoxidised. Prior art examples of mediators ferrocene, ferrocene derivatives, ferricyanide, osmium complexes, 2,6-dichlorophenolindophenol, Nile Blue, Medola Blue; see, for example: US 5,708,247, US 6,241,862, WO 98/55856, and WO 99/13100. Biosensors that employ a redox mediator to transfer electrons between the enzyme and the electrode will be referred to as biosensors".

Mediated biosensors can suffer from a number of problems, including chemical instability. The mediator must be in a 15 particular redox state to function, so that if the reduced form is oxidised by air the measured current will be reduced. Oxygen may also interfere by accepting electrons to form peroxides which are not oxidised at the potential of the mediated electrode. If the electrode potential is 20 increased to oxidise the peroxide, this makes the system prone to interference from other species which may be dissolved in blood, for example paracetamol, ascorbate, and uric acid. Thus, variation in oxygen concentration in blood may cause variation in measured glucose response in 25 a mediated system.

Desirable attributes for a single use biosensor include:

low intercept, related to background - to achieve
 low coefficients of variation (CV's) after calibration;

PCT/GB2003/002901 WO 2004/008130

- 5 -

- as high a sensitivity as the electronics will allow;
- stability;
- good precision;
- reproducible manufacture;
  - rapid response;
  - · low cost.

The present invention seeks to provide an enzyme electrode 10 and biosensor which are improved in respect of at least some of the above criteria.

# SUMMARY OF THE INVENTION

- 15 According to an aspect of the present invention there is provided a non-mediated enzyme electrode for indicating amperometrically the catalytic activity of an oxidoreductase enzyme in the presence of a fluid containing a substance acted upon by said enzyme and of an electric potential on the electrode, said electrode comprising a base substrate on which is provided:

  (a) an electrically conductive base layer comprising finely divided platinum group metal or oxide bonded together by a resin;
  - (b) a top layer on the base layer, said top layer comprising a buffer; and(c) a catalytically active quantity of said oxidoreductase enzyme in at least one of said base layer and said top layer.
  - The term "non-mediated" is used herein to refer to an

enzyme electrode which does not contain any significant quantity of a redox mediator, and to a biosensor including such an enzyme electrode. Preferably, the enzyme electrode does not contain any redox mediator. Thus, when an oxidoreductase enzyme such as glucose oxidase is employed, all or substantially all of the measured current results from oxidation of peroxide at the electrode.

We have found that by providing the buffer in the top layer, we can get faster response times than conventional 10 non-mediated biosensors, together with increased stability and sensitivity. The increase in sensitivity and response time we believe is achieved by providing a high buffering capacity on the strip. The oxidation of hydrogen peroxide produces hydrogen ions which are neutralised by the 15 buffer. This can have two effects: it sustains enzyme activity by maintaining the local pH around the enzyme, and it also shifts the equilibrium of the hydrogen peroxide oxidation making it more efficient. Improving the 20 efficiency of hydrogen peroxide oxidation also results in greater oxygen recycling which can be utilised by the oxidoreductase enzyme. We have also found that the ratio of enzyme to buffer is important in obtaining a desirable linearity of response and to obtain a reasonable lower limit of sensitivity. We have further found that the 25 buffer and enzyme needs to exceed a particular threshold concentration to attain the maximum sensitivity and above this concentration the ratio of buffer to enzyme can be used to "tune" the profile of the response of the 30 biosensor to blood glucose, as will be discussed later in the context of our experimental results.

The pH range for the buffer will depend on the specific chemistry of the system. A preferred range is pH 7-10, notably 7 to 8.5. Preferred buffers are phosphate, at about pH 8, and ADA at about pH 7.5.

5

The platinum group metal or oxide may be present in sufficient quantity for the base layer to be electrically conductive, as taught in US 5,160,418. Alternatively, the base layer may also contain particles of finely divided carbon or graphite. For convenience, the term "catalyst" will be used herein to refer to the finely divided platinum group metal or oxide. In a preferred embodiment, the catalyst is in intimate surface contact with the carbon or graphite particles, for example as platinised carbon or palladised carbon.

The resin may comprise any compatible binder material or bonding agent which serves to bond the platinum group

metal or oxide in the base layer; for example, a polyester resin, ethyl cellulose or ethylhydroxyethylcellulose

(EHEC).

The enzyme electrode may be manufactured by printing an ink containing the catalyst on the base substrate, allowing the printed ink to dry to form a base layer, and subsequently forming the top layer by applying a coating medium comprising or containing the buffer. The coating medium is preferably a fluid, notably an aqueous fluid in which the buffer is dissolved. However, the coating medium could comprise a dry powder consisting of or

containing the buffer, which is applied, for example by spraying, to a tacky base layer. Suitable methods for forming the top layer when a coating fluid is applied include printing, spraying, ink jet printing, dip-coating or spin coating. A preferred coating technique is drop coating of a coating fluid, and the invention will be described hereinafter with reference to this preferred method.

10 Typically, the enzyme electrode will be incorporated in the working electrode of a biosensor, and a reference electrode will also be provided for completing a circuit and providing a stable reference potential, as is well known in the art.

15

20

30

Accordingly, a further aspect of the invention provides a non-mediated biosensor for indicating amperometrically the catalytic activity of an oxidoreductase enzyme in the presence of a fluid containing a substance acted upon by said enzyme, the biosensor comprising:

- (a) a base substrate;
- (b) a working electrode and a reference electrode on the base substrate;
- (c) conductive tracks connected to the said electrodes
  for making electrical connections with a test meter apparatus;

wherein the working electrode includes:

- (d) an electrically conductive base layer comprising finely divided platinum group metal or oxide bonded together by a resin;
- (e) a top layer on the base layer, said top layer

WO 2004/008130 PCT/GB2003/002901

- 9 -

comprising a buffer; and

(f) a catalytically active quantity of said oxidoreductase enzyme in at least one of said base layer and said top layer.

5

10

In a preferred embodiment, the enzyme is provided in the top layer with the buffer. This arrangement facilitates adjustment of the pH in the local environment of the top layer to a level at which the enzyme may operate more efficiently, which level is typically different from that at which the platinum group metal or oxide optimally operates.

A system stabiliser may advantageously be included in the top layer. Suitable stabilisers include polyols other than those which are acted upon by the enzyme; for example 15 trehalose, mannitol, lactitol, sorbitol or sucrose where the enzyme is glucose oxidase. The system stabiliser may stabilise the enzyme by encapsulation, hindering tertiary structural changes on storage, or by replacing the water activity around the enzyme molecule. The glucose oxidase 20 enzyme has been shown to be a very stable enzyme and the addition of stabilisers are not primarily to protect this enzyme. The stabilisers help to reduce long term catalyst passivation effects, for example by coating a platinised carbon resin base layer as well as blocking the carbon 25 surface to air oxidation.

If carbon particles are present in the base layer, a

blocking agent may optionally be included in that layer to
block active sites on the carbon particles. This aids

10

25

shelf stability and uniformity of the carbon's activity. Suitable blocking agents include the system stabilisers and also proteins, for example bovine serum albumin (BSA). If graphite particles are used instead of high surface 5 carbon, the particles have higher conductivity, and a blocking agent is less desirable because the number of active moieties on the graphite is much less than that found on carbon. The smaller surface area and less active surface groups both tend to reduce the intercept. At 0 mM of analyte the intercept consists mainly of a capacitative component which is surface area related.

The base substrate may be formed from any suitably heatstable material. Heat stability is important to ensure good registration of prints in the manufacturing process. 15 A preferred substrate is Valox FR-1 thermoplastic polyester film (poly(butylene terephthalate) copoly (bisphenol-A/tertabromobisphenol-A-carbonate). Other suitable substrates will be well known to those skilled in 20 the art, for example PVC, poly (ether sulphone) (PES), poly (ether ether ketone) (PEEK), and polycarbonate.

The enzyme may be any suitable oxidoreductase enzyme; for example glucose oxidase, cholesterol oxidase, or lactate oxidase.

Other aspects and benefits of the invention will appear in the following specification, drawings and claims.

## BRIEF DESCRIPTION OF THE DRAWINGS

The invention will now be further described, by way of example, with reference to the following drawings in which:

Figure 1 shows stages in the formation of a biosensor in accordance with an aspect of the invention;

- Figure 2 is a graph illustrating a comparison between glucose calibrations on a biosensor of the present invention and a prior art biosensor;
- Figure 3 is a graph illustrating the effect of phosphate buffer in the top layer on response;
  - Figure 4 is a graph illustrating the effect of pH on glucose response;
- Figures 5 and 6 are graphs illustrating the effect of pH on hydrogen peroxide response;
  - Figures 7 and 8 are graphs illustrating the effect of pH on glucose response;
- Figures 9 and 10 are graphs illustrating the effect of buffer type on hydrogen peroxide response;
- Figure 11 shows calibration graphs for biosensors with a fixed buffer/enzyme ratio;

5

20

Figures 12 and 13 show calibration results for biosensors with different buffer/enzyme ratios;

Figure 14 shows results for different combinations of ink and drop coats;

Figures 15 and 16 are graphs of results for biosensors without a mesh layer; and

Figures 17 and 18 are graphs illustrating the effect of ADA buffer in the top layer on response.

DETAILED DESCRIPTION

### 15 Preparation of BSA-Pt/Carbon

In a 250 mL glass bottle, 6.4 g of BSA, Miles Inc. was dissolved in 80 mL of phosphate buffered saline (PBS) and 20 g of 10%Pt/XC72R carbon, MCA Ltd, was gradually added with constant stirring. The bottle was then placed on a roller mixer and allowed to incubate for two hours at room temperature.

A Buchner funnel was prepared with two pieces of filter

5 paper, Whatman<sup>TM</sup> No 1. The mixture was poured into the
funnel and the carbon washed three times with
approximately 100 mL of PBS. The vacuum was allowed to
pull through the cake of carbon for about 5 minutes to
extract as much liquid as possible. The cake of carbon was

6 carefully scraped out into a plastic container and broken
up with a spatula. The carbon was then placed in an oven

WO 2004/008130 PCT/GB2003/002901

- 13 -

at 30°C overnight to dry. The purpose of this procedure is to block active sites on the carbon hence to aid the shelf stability and reproducibility of the carbon's properties.

# 5 Preparation of platinum group metal/carbon inks

BSA-Pt/Carbon was prepared in Metech 8101 polyester resin as the polymer binder, Terpineol BP, from RC Treatt, as a flow agent and Butyl Cellosolve Acetate (BCA) as a solvent for the ink.

The formulation of a first ink consisted of:

## Ink Formulation (I)

15

10

Metech 8101 resin	54.05%
BSA-Pt/Carbon	27.09%
	12.57%
BCA Terpineol BP	6.29%
Terprieor 22	

20

25

30

The resin, solvent and flow agent were initially blended together prior to adding the carbon fraction. Initially the formulation was hand mixed followed by several passes through a triple roll mill. This produces a smooth homogeneous thixotropic carbon ink suitable for screen-printing.

An alternative formulation is one similar to that described in US 4,970,145, the content of which is incorporated herein by reference, in which glucose oxidase (GOD) is adsorbed onto the Pt/Carbon prior to BSA adsorption and incorporation into an ink.

In another formulation the level of BSA-Pt/carbon was reduced and graphite was added. The flow control agent was omitted and a surfactant was incorporated.

5

### Ink Formulation (II)

	Metech 8101 resin	45.32%
	BSA-Pt/Carbon	18.67%
10	graphite	9.77%
	BCA/cyclohexanone	23.26%
	Tween® 20	2.98%

Tween 20 is a surfactant supplied by Sigma-Aldrich. Tween is a registered trade mark of ICI Americas Inc. The solvent is a 50% v/v mixture of BCA and cyclohexanone. The additional volume of solvent compared to that used for Ink Formulation I was added to the ink after triple roll milling to bring the ink to a suitable viscosity for printing. The graphite was Timrex KS 15 (particle size < 16  $\mu$ m), from GS Inorganics, Evesham, Worcs. UK.

A further test formulation included GOD in the ink, as follows.

25

### Ink Formulation (III)

	Metech 8101 resin	44.68%
	BSA-Pt/Carbon	18.42%
30	graphite	9.64%
	BCA/cyclohexanone	22.94%
	Tween® 20	2.94%

WO 2004/008130 PCT/GB2003/002901

- 15 -

glucose oxidase

1.38%

# Preparation of Drop Coating Solution

5

10

The coating solution is water-based and consists of a high concentration of buffer, preferably phosphate at pH 8. It has been found that buffering capacity is more important than ionic strength. In this example the solution contains glucose oxidase and a system stabiliser, in this example trehalose.

	*****	KH <sub>2</sub> PO <sub>4</sub> /K <sub>2</sub> HPO <sub>4</sub>	385 mM, pH 8	Sigma
Durror	Durror -	•	4080 U/mL	Biozyme
	Enzyme Gl	ucose oxidase		Sigma
15	Stabiliser	Trehalose	1%	519

### Preferred Ranges

	Buffer	300 - 1000 mM, pH 7 - 10
20	Buller	500 - 12000 U/mL (1.85 - 44.4 mg/mL)
	Enzyme	500 - 12000 U/ML (1.85 1111 Mg,
	Stabiliser	0.5 - 10%

The activity of the glucose oxidase is about 270 units per milligram of material (360 units/mg of protein because the enzyme comes in a preparation with other lyophilisation and stabilisation agents).

If the enzyme is located in the base layer, for example in a base layer prepared using Ink Formulation III, the drop coating solution may contain only buffer, optionally with the stabiliser.

### Method of Manufacture

Glucose test strips (biosensors) were manufactured using a combination of screen printing and drop coating technologies. Other printing and/or coating technologies, well known per se to those skilled in the printing and coating arts may also be used.

10

15

20

With reference to Figure 1, a base substrate 2 is formed from a polyester (Valox). Conductive tracks 4 were printed onto the substrate 2 as a Conductive Carbon Paste, product code C80130D1, Gwent Electronic Materials, UK. The purpose of this ink is to provide a conductive track between the meter interface and the reference and working electrodes. After printing, this ink was dried for 1 minute in a forced air drier at 130°C. The second ink printed on top of the conductive carbon 4 Silver/Silver Chloride Polymer Paste, product code C61003D7, Gwent Electronic Materials, UK. This ink 6 is not printed over the contact area or the working area. This ink 6 forms the reference electrode 16 of the system. It is dried at 130°C in a forced air drier for 1 minute.

. 25

The next layer is the platinum group metal carbon ink (Ink Formulations I, II or III) which is printed as a layer 8 onto the conductive carbon 4 in the target area. This ink is dried for 1 minute at 90°C in a forced air drier to form a base layer about 12  $\mu$ m thick. A first dielectric layer 10 is then printed. The first dielectric layer 10

is MV27, from Apollo, UK. The purpose of this layer is to define a target area for blood application and to insulate the system. It is dried at 90°C for 1 minute in a forced air drier. A spreading layer 12, which consists of a surfactant-coated polyester mesh, Saaticare PES 105/52, Saati, Italy, or Petex 07-105/52, Sefar, Switzerland, is then placed over the target area. This is then sealed onto the electrode using a further layer 14 of MV27 dielectric and dried. If desired, the base layer 8 can alternatively be printed after the first dielectric layer 10. However, it is preferred to print the base layer 8 first, since the subsequent application of the first dielectric layer 10 removes some of the tolerance requirements of the print.

15 The drop coat layer is then applied to the electrode using BioDot drop coating apparatus. The volume of drop coating solution used is 1 μl; this is dried in a forced air drier for 1 minute at 50°C. The final biosensor 20 has a reference electrode 16 and a working electrode 18 within the target area. The working electrode comprises the base layer 8 on a conductive carbon layer 4 on the base substrate 2, and a top layer including the buffer. The mesh 12 helps to spread out a sample of blood when this is applied to the target area.

25

30

# Preparation of Comparative Biosensor (Prior Art)

An ink was formulated as described above (Ink Formulation I) but using glucose oxidase (GOD) in place of BSA. The ink was used in the manufacture of a biosensor as described above (Method of Manufacture) but without the

drop coating step.

### Standard Test Procedures

The test procedure involves connecting the test strips to 5 a potentiostat. A potential of 350 mV is applied across the working and reference electrodes after application of a sample, in this example a sample of whole blood (WB). The potential is maintained for 15 seconds, after which the current is measured; this current is used to prepare response graphs. Results for graphs 2 to 10 were obtained using Ink Formulation I.

#### Explanation of Figures

15

25

30

- Comparison between glucose calibrations on old and new methodologies (Fig. 2). The old methodology refers to the prior art non-mediated Comparative Biosensor, which does not include high buffer 20 concentrations. It can be seen that increasing the pH from pH 7.4 within the ink at low buffer concentrations to pH 8 at a high local buffer concentration with a top layer which also includes the enzyme results in a dramatic increase in sensitivity.
  - Effect of Phosphate buffer concentration, in top layer, on response (Fig. 3). It can be seen in this plot that the sensitivity of the response to glucose and also to hydrogen peroxide dramatically increased by the concentration of

5

10

15

20

25

30

phosphate buffer. The buffer pH was pH 7.4. This plot also demonstrates the efficiency gap between glucose and measurement peroxide hydrogen measurement. Hydrogen peroxide is being directly oxidised at the platinum surface whereas glucose has to react with glucose oxidase and produce hydrogen peroxide. Where glucose oxidase is in the layer it rapidly comes into solution on application of sample diffusing into the bulk. Hydrogen peroxide produced by glucose oxidation has a variable diffusion distance to the electrode surface whereas hydrogen peroxide applied in the sample does not. The ideal situation would be to have glucose oxidase immobilised at the electrode high ionic strength have yet surface stabilisers in the top layer.

Effect of pH on glucose response (Fig. 4). plot shows that the glucose response is increased with pH. The buffer concentration at each pH is This cannot be due maintained at 350 mM. increased activity from the glucose oxidase as it has its pH maximum at pH 5.6; at pH 10 the glucose oxidase should be grossly inhibited. A possible increased qlucose the mechanism for response is that the glucose is being directly oxidised at the electrode surface. It is known that glucose will oxidise at a platinum surface but under normal conditions this response should be the with comparison in small facilitated glucose oxidation. It may be that high buffer concentration coupled with high pH results in a huge enhancement in the direct oxidation of glucose, although this is unlikely. Alternatively if the hydrogen peroxide response were to increase with pH this might compensate for some of the dropoff in glucose oxidase response.

- Effect of pH on hydrogen peroxide response (Fig. 5, Fig. 6). This plot shows very little change in 10 hydrogen peroxide response with pH, except at low hydrogen peroxide concentrations. The sensitivity to hydrogen peroxide is a factor of five higher than that to glucose hence it is more applicable to low hydrogen peroxide concentrations. 15 Increased pH will result in ionisation of active groups on the carbon surface, this increases the non-Faradaic component οf the electrochemical response resulting in an increased intercept.
- Effect of buffer type on glucose response (Fig. 7, Fig. 8). Different buffers were evaluated. All buffers were drop coated on the electrode surface and dried. All buffers were at pH 7.4 and 350 mM. The buffers could be separated into three distinct groups.
  - group A bis-tris this buffer resulted in a
    high intercept and relatively poor sensitivity to
    glucose.
- group B phosphate, MOPS, MES, HEPES, ACA, ACES,

  TES and Tricine these buffers all gave roughly

  similar responses, low intercepts and reasonable

sensitivity to glucose.

group C - Borate, Tris - these buffers gave low intercepts but poor glucose sensitivity.

5

10

15

response (Fig. 9, Fig. 10). Similar electrodes to that used for the effect of buffer type on glucose response were used in this experiment. The buffer types could be separated into 3 groups.

group A - bis-tris - this buffer resulted in a high intercept but reasonable sensitivity above 4 mM.

group B - phosphate, MOPS, HEPES, ACES, TES, ACA - all gave similar responses low intercept and reasonable sensitivity to hydrogen peroxide.

group C - Borate, Tris and Tricine - low intercepts
and reduced sensitivity to hydrogen peroxide

There are similar trends when comparing the glucose and hydrogen peroxide sensitivities with buffer type. This would imply that the major effect of the buffer is on hydrogen peroxide oxidation. The bis-tris buffer is electrochemically active which results in the high background current at zero hydrogen peroxide. The Borate Tris and Tricine buffers have pKa values greater than 8, hence they would have poor buffering capacity at pH 7.4. All the other buffers have pKa values close to 7.4.

Turning now to Figures 11 to 18, these show results for biosensors in which the base layer is printed using Ink Formulation II with various drop coat formulations to

10

provide the top layer, except where otherwise stated. The concentration of buffer in the drop coat formulation is expressed as mmol  $L^{-1}$  (mM), and the concentration of GOD enzyme is expressed as mg/mL. Each drop coat solution also contained 1% of trehalose.

Figure 11 shows venous blood glucose calibrations for batches with phosphate buffer/GOD ratios (mol/kg) of about 60. The results show that for a fixed buffer/enzyme ratio above a threshold concentration the product gives substantially the same response. A preferred minimum buffer concentration is about 300 mM.

Figure 12 shows blood glucose calibration of biosensors

made with formulations of differing GOD concentrations and
a fixed phosphate buffer concentration of 385 mM. Figure
13 is part of the graph of Figure 12, expanded to show low
glucose concentration results more clearly. The graphs
illustrate that increasing the ratio of buffer to enzyme

(decreasing enzyme) improves sensitivity at critical low
blood glucose concentrations. Above the minimum threshold,
adjustment of the ratio of buffer to enzyme can be used to
"tune" the profile of the response to the biosensor to
blood glucose. To obtain better linearity of response a

preferred buffer/enzyme ratio (mol/kg) is in the range 3080, notably 40-60.

Referring now to Figure 14, results are shown for different ink and drop coat formulations. The results 30 labelled BSA ink are for a base coat ink made up in accordance with Ink Formulation II. The results labelled

GOD ink are for a base coat ink made up in accordance with Results are shown for drop coat Ink Formulation III. solutions made in accordance with the formulation given earlier, and also for a similar solution without GOD, and In the latter for a case where no drop coat was applied. case there is little sensitivity, but in the other cases practical all measured at be can levels qlucose Best results are obtained when both concentrations. enzyme and buffer are present in the top coat.

10

Calibration graphs are shown in Figure 15, for a biosensor without the mesh layer 12. Omission of the mesh layer 12 permits lower drop coat volumes to be used, applied fluid does not get drawn away from the working area by the mesh, but the drop application must be more Results are shown for 2 x 125 nL drops of 15 phosphate in the drop coat solution, with base layers precise. formed using the GOD ink (Ink Formulation III). The graphs in levels of buffer give better linearity. Figure 16 show results for biosensors having base layers formed using Ink Formulation II, and top layers formed 20 using drop coat solutions with GOD and buffer. Here also, higher levels of buffer give better linearity.

Figures 17 and 18 graph results for drop coat solutions of GOD and ADA buffer (N-(2-acetamido-2-iminodiacetic acid). 25 The threshold buffer concentration is about 200 mM, and the preferred ratio (mol/kg) buffer/GOD is about 40-100, notably about 60-80.

#### CLAIMS

25

- 1. A non-mediated enzyme electrode for indicating amperometrically the catalytic activity of an oxidoreductase enzyme in the presence of a fluid containing a substance acted upon by said enzyme and of an electric potential on the electrode, said electrode comprising a base substrate on which is provided:
- (a) an electrically conductive base layer comprisingfinely divided platinum group metal or oxide bonded together by a resin;
  - (b) a top layer on the base layer, said top layer comprising a buffer; and
- (c) a catalytically active quantity of said oxidoreductase
  15 enzyme in at least one of said base layer and said top layer.
- An enzyme electrode according to claim 1, wherein the buffer is selected from a group comprising: phosphate,
   ADA, MOPS, MES, HEPES, ACA, and ACES, or buffers with a pKa 7.4 ± 1.
  - 3. An enzyme electrode according to claim 1 or claim 2, wherein the buffer has a pH in the range 7 to 10.
  - 4. An enzyme electrode according to claim 3, wherein the buffer has a pH in the range 7 to 8.5.
- An enzyme electrode according to any preceding claim,
   further including a system stabiliser in the top layer,
   comprising a polyol which is not acted upon by the enzyme.

WO 2004/008130 PCT/GB2003/002901

- 6. An enzyme electrode according to claim 5, wherein the system stabiliser is trehalose.
- 5 7. An enzyme electrode according to any preceding claim, wherein the oxidoreductase enzyme is glucose oxidase.
- An enzyme electrode according to any preceding claim, wherein the base layer also contains particles of finelydivided carbon or graphite.
  - 9. An enzyme electrode according to claim 8, wherein said finely divided particles of platinum group metal or oxide are adsorbed onto the surface of the finely-divided carbon or graphite.

15

20

25

- 10. An enzyme electrode according to claim 8 or claim 9, wherein the particles of finely divided carbon or graphite comprise carbon, and wherein the base layer further includes a blocking agent for blocking active sites of the carbon particles.
- 11. An enzyme electrode according to claim 10, wherein the said blocking agent comprises a protein or a polyol.
- 12. An enzyme electrode according to claim 11, wherein the blocking agent is bovine serum albumin (BSA) or trehalose.
- 30 13. An enzyme electrode according to any preceding claim, wherein the said oxidoreductase enzyme is located

substantially in the said top layer.

- 14. An enzyme electrode according to any preceding claim, further including a spreading layer for aiding spreading of the said fluid.
- 15. An enzyme electrode according to any preceding claim, wherein the ratio of buffer to enzyme is in the range 30-80 mol/kg.

10

25

- 16. An enzyme electrode according to claim 15, wherein the ratio of buffer to enzyme is in the range 40-60 mol/kg.
- 15 17. A non-mediated biosensor for indicating amperometrically the catalytic activity of an oxidoreductase enzyme in the presence of a fluid containing a substance acted upon by said enzyme, the biosensor comprising:
- 20 (a) a base substrate;
  - (b) a working electrode and a reference electrode on the base substrate;
  - (c) conductive tracks connected to the said electrodes for making electrical connections with a test meter apparatus;

wherein the working electrode includes:

- (d) an electrically conductive base layer comprising finely divided platinum group metal or oxide bonded together by a resin;
- 30 (e) a top layer on the base layer, said top layer comprising a buffer; and

PCT/GB2003/002901 WO 2004/008130

- (f) a catalytically active quantity of said oxidoreductase enzyme in at least one of said base layer and said top layer.
- 18. A biosensor according to claim 17, wherein the buffer is selected from a group comprising: phosphate, ADA, MOPS, 5 MES, HEPES, ACA, and ACES.
- 19. A biosensor according to claim 17 or claim 18,
- wherein the buffer has a pH in the range 7 to 10. 10
  - 20. A biosensor according to claim 19, wherein the buffer has a pH in the range 7 to 8.5.
- 21. A biosensor according to any of claims 17 to 20, further including a system stabiliser in the top layer, 15 comprising a polyol which is not acted upon by the enzyme.
- A biosensor according to claim 21, wherein the system stabiliser is trehalose. 20
  - 23. A biosensor according to any of claims 17 to 22, wherein the ratio of buffer to enzyme is in the range 30-80 mol/kg.
- 24. A biosensor according to claim 23, wherein the ratio 25 of buffer to enzyme is in the range 40-60 mol/kg.
- 25. A biosensor according to any of claims 17-24, wherein the base layer also contains particles of finely-divided 30 carbon or graphite.

26. A biosensor according to claim 25, wherein said finely divided particles of platinum group metal or oxide are adsorbed onto the surface of the finely-divided carbon or graphite.

5

20

- 27. A method of manufacturing a non-mediated biosensor for indicating amperometrically the catalytic activity of an oxidoreductase enzyme in the presence of a fluid containing a substance acted upon by said enzyme, the method comprising the steps of:
- (a) taking a base substrate having a working electrode and a reference electrode thereon, and conductive tracks connected to the said working and reference electrodes for making electrical connections with a test meter apparatus;
- (b) printing on the said working electrode an ink containing finely divided platinum group metal or oxide and a resin binder;
  - (c) causing or permitting the said printed ink to dry to form an electrically conductive base layer comprising the said platinum group metal or oxide bonded together by the resin; and
  - (d) forming a top layer on the base layer by coating the base layer with a coating medium comprising or containing a buffer; wherein
- 25 (e) a catalytically active quantity of said oxidoreductase enzyme is provided in at least one of the printed ink and the coating medium.
- 28. A method according to claim 27, wherein the coating
  30 medium is a coating fluid containing the buffer and
  wherein the method further comprises causing or permitting

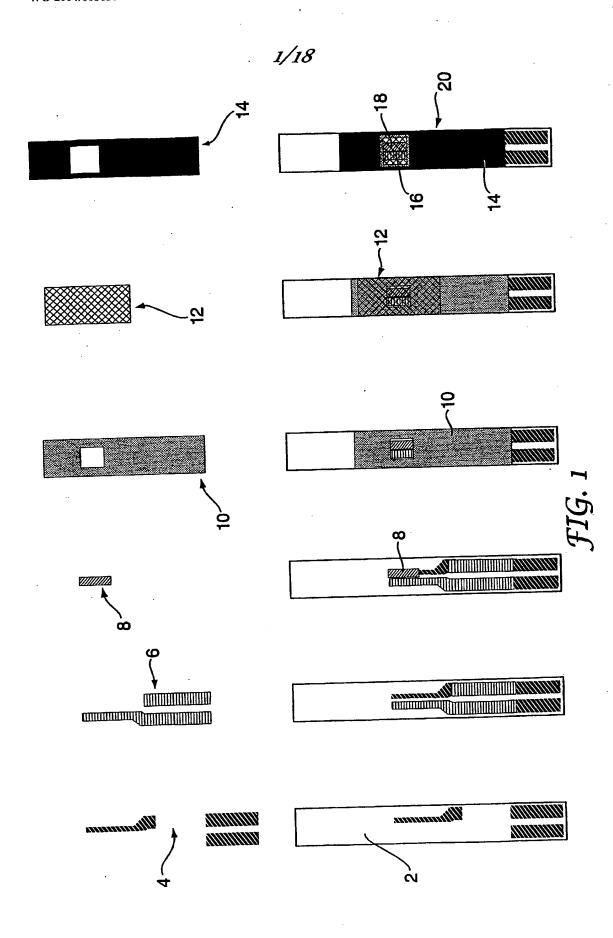
WO 2004/008130 PCT/GB2003/002901

said coating fluid to dry to form a top layer on the base layer.

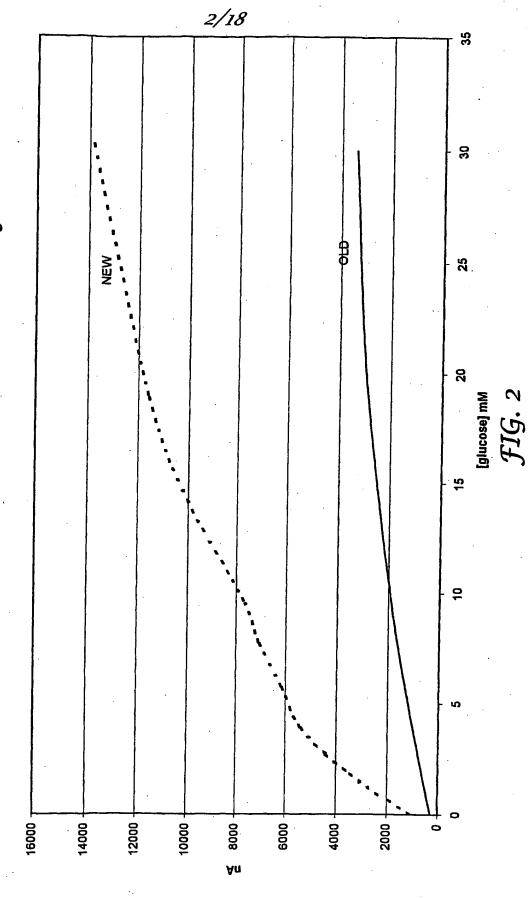
- 29. A method according to claim 28, wherein the coating fluid is applied by drop coating.
  - 30. A method according to claim 28 or claim 29, further including the step of applying a spreading layer on the base layer prior to application of the coating fluid.
- 31. A method according to claim 30, wherein the step of applying a spreading layer comprises applying a surfactant-coated polyester mesh on the base layer.
- 15 32. A method according to claim 30, further comprising the step of applying a first dielectric layer prior to applying the spreading layer, the first dielectric layer being applied around the reference electrode and the working electrode to define a target area to which the said fluid containing a substance acted upon by the enzyme will be applied.
- 33. A method according to claim 32, further comprising the step of applying a second dielectric layer around the target area so as to secure the spreading layer in place.
  - 34. A method according to any of claims 28 to 33, wherein said enzyme is provided in the coating fluid.
- 30 35. A method according to any of claims 28 to 33, wherein the concentration of buffer in the coating fluid is in the

range 300 mmol/L to 1 mol/L.

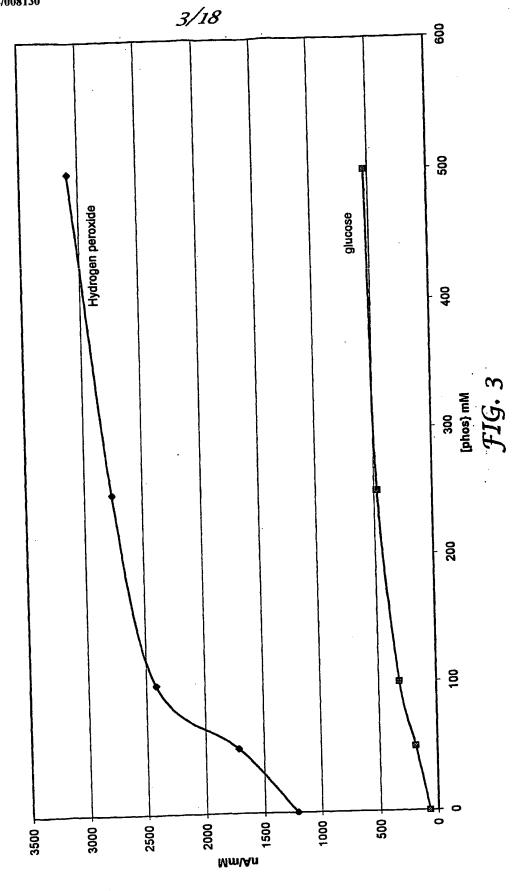
- 36. A method according to any of claims 27 to 34, wherein the ratio of buffer to enzyme is in the range 30-80 mol/kg.
- 37. A method according to claim 36, wherein the ratio of buffer to enzyme is in the range 40-60 mol/kg.
- 10 38. A method according to any of claims 27-36, wherein the buffer comprises phosphate or ADA.
- 39. A method according to any of claims 27-38, wherein said finely divided platinum group metal or oxide in said ink is adsorbed on the surface of particles of finely divided carbon or graphite.
  - 40. A method according to claim 28, wherein the coating fluid has a pH in the range 7 to 8.5.



Comparison between glucose calibrations on old and new methodologies



Effect of Phosphate buffer concentration in top layer on response

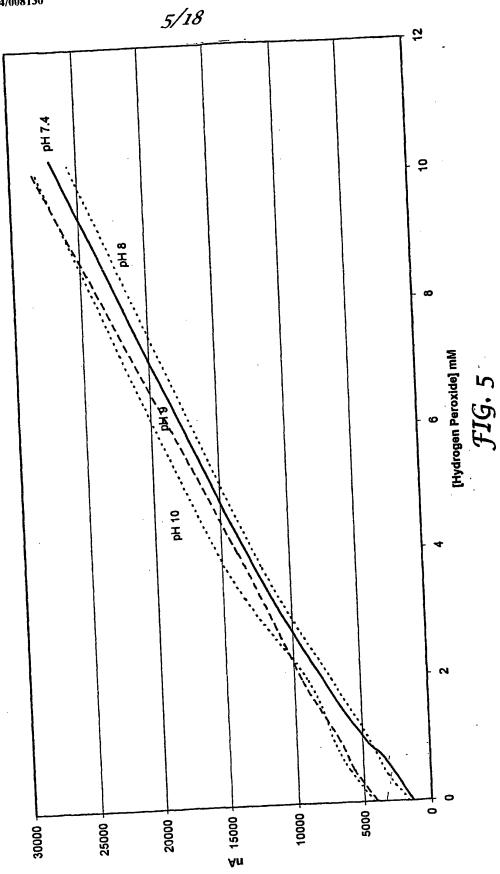


Effect of pH on glucose response

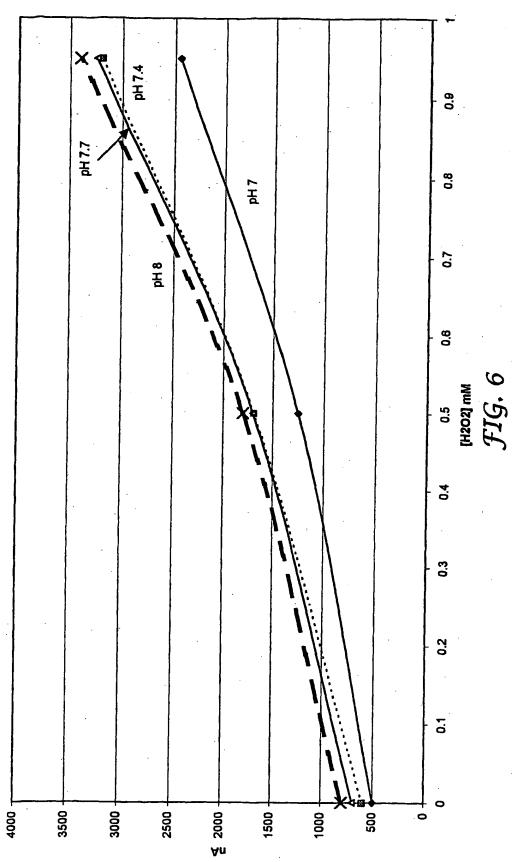


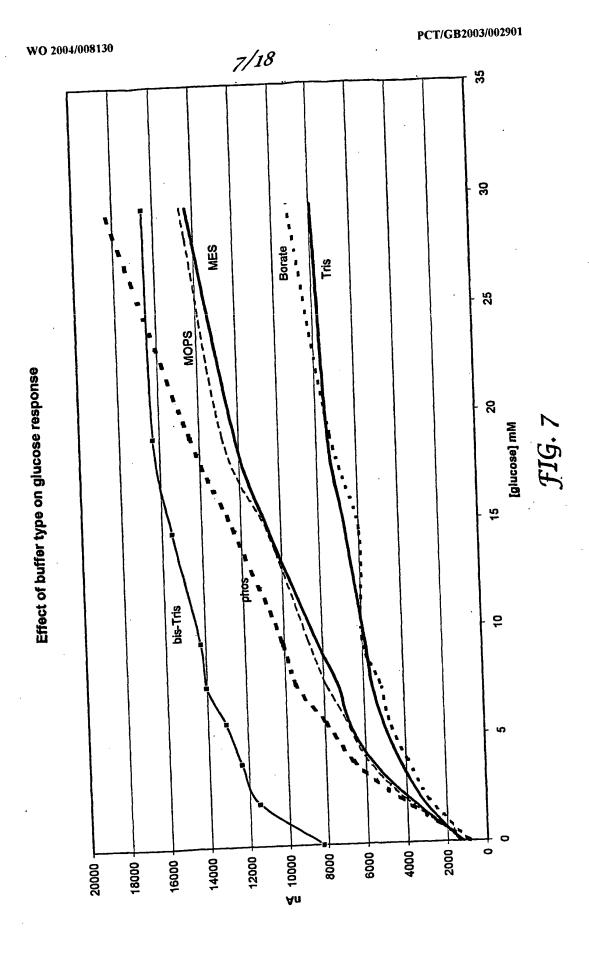
35 <del>В</del> Н PH 10 pH 7.4 30 년 8 25 8 [glucose] mM 5 - 20002 15000 10000 2000 25000 Αu

Effect of pH on Hydrogen Peroxide response

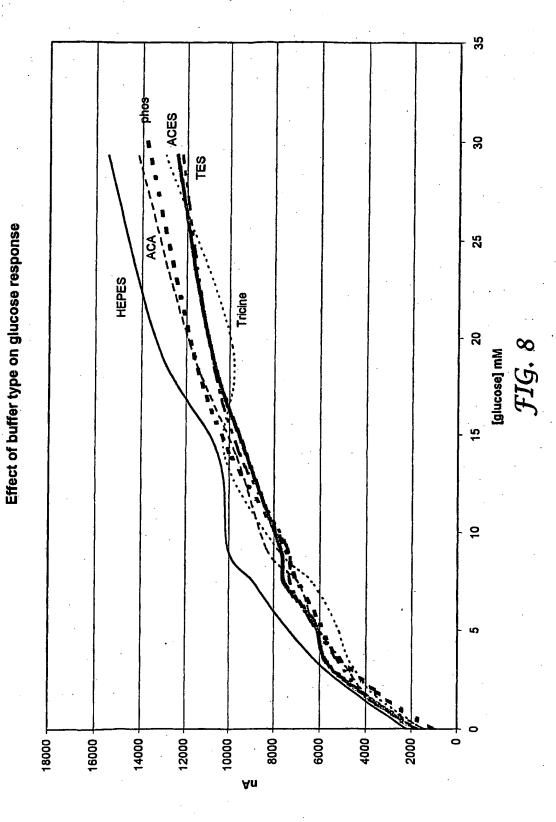


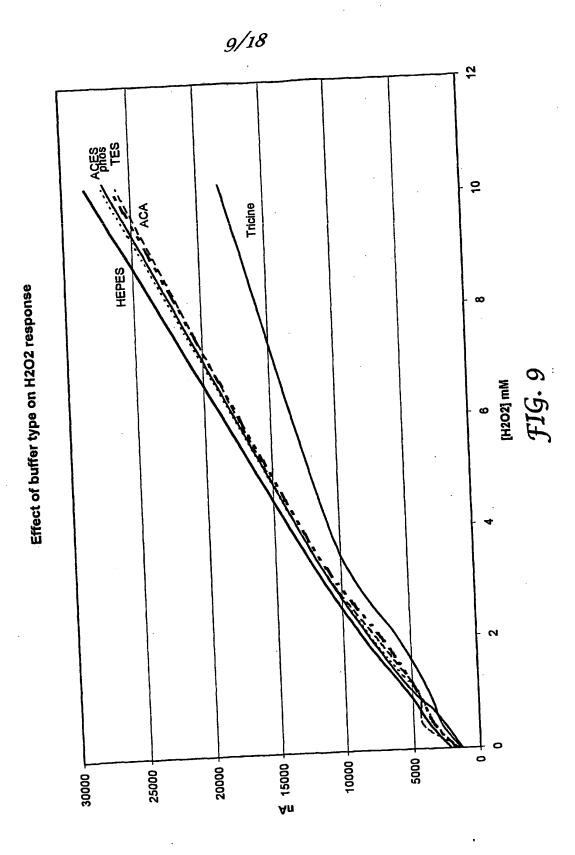
pH effect on low hydrogen peroxide response



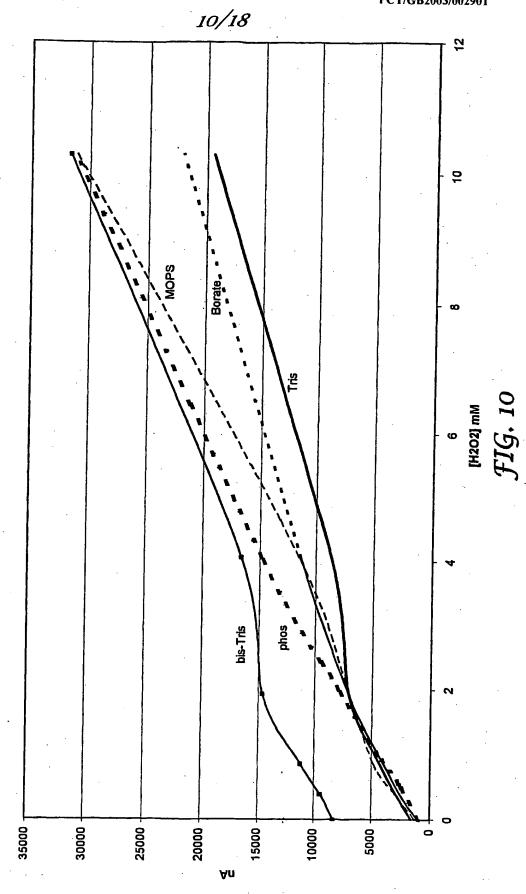


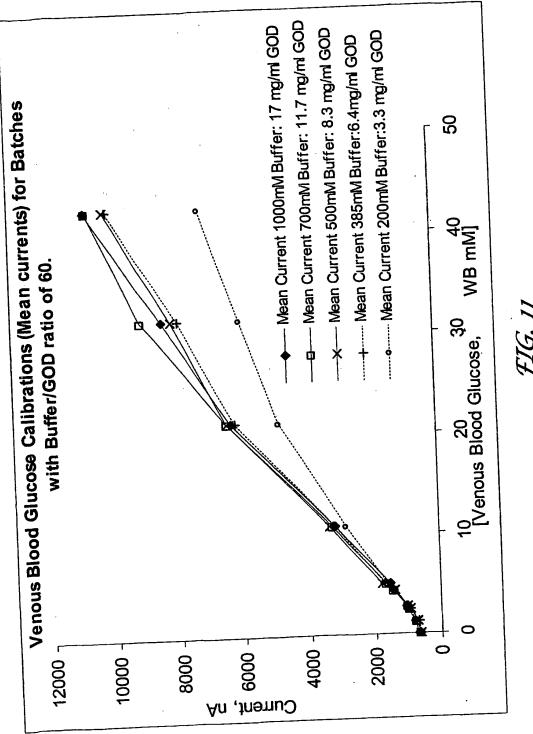


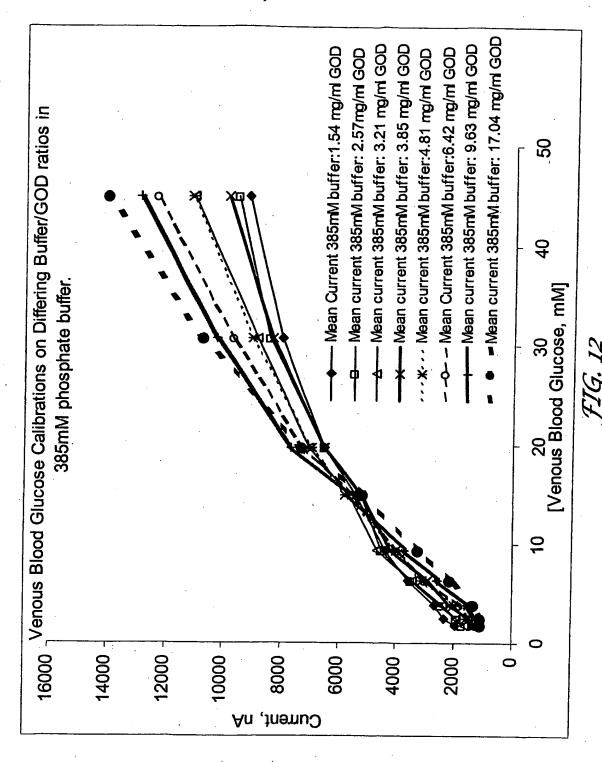


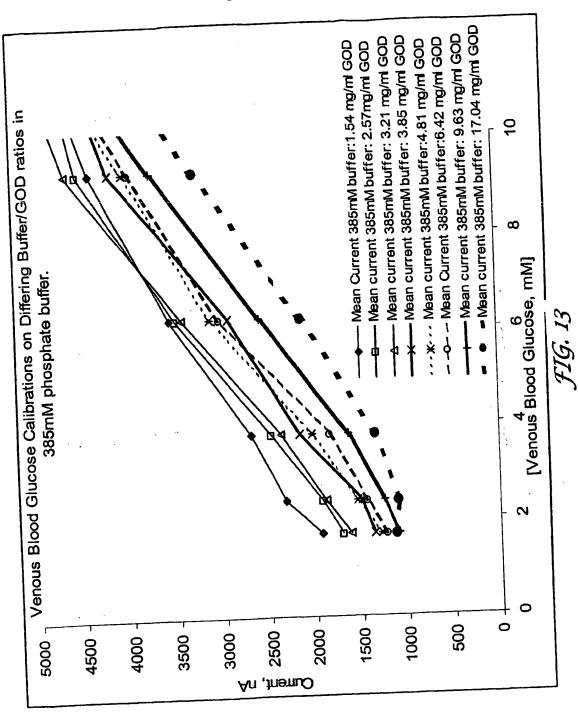












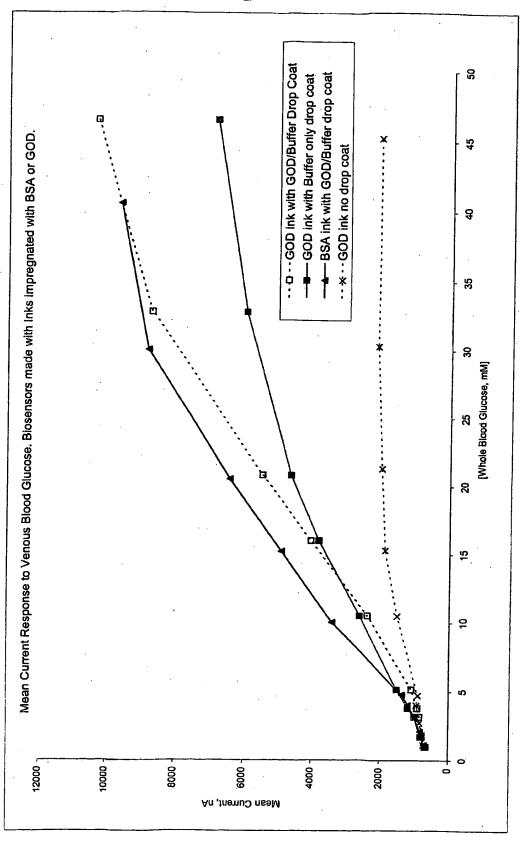


FIG. 14

15/18

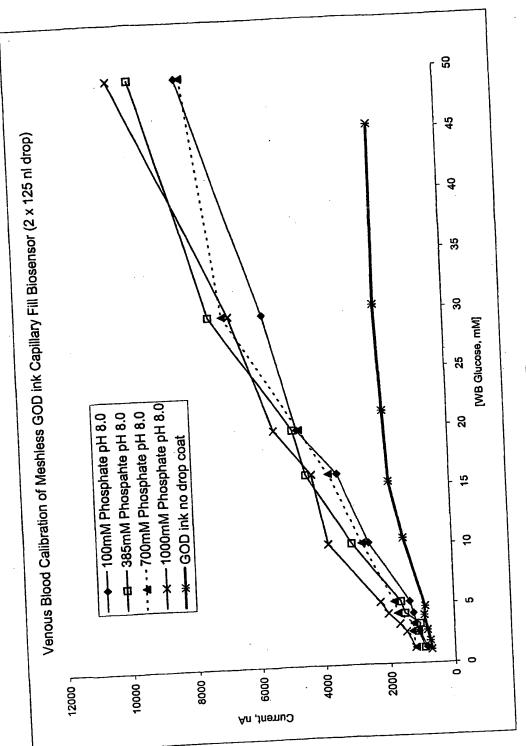
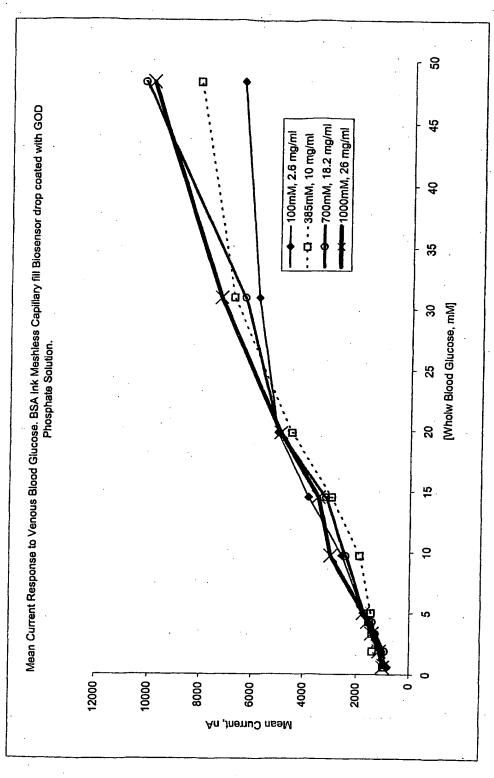
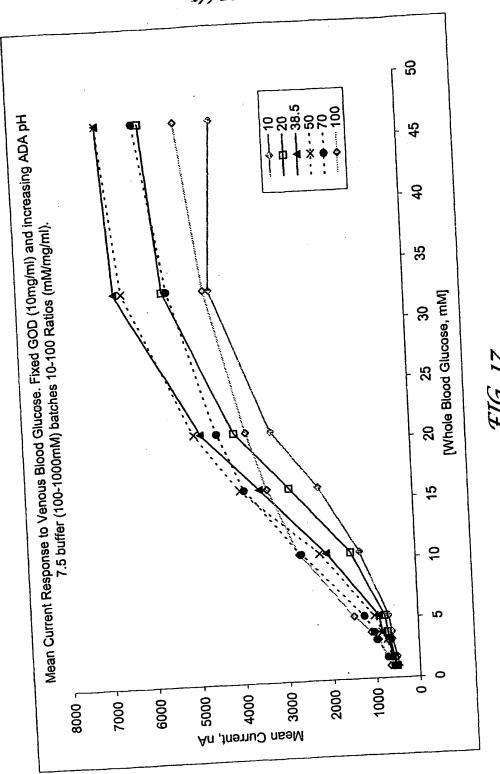


FIG. 15



F1G. 16



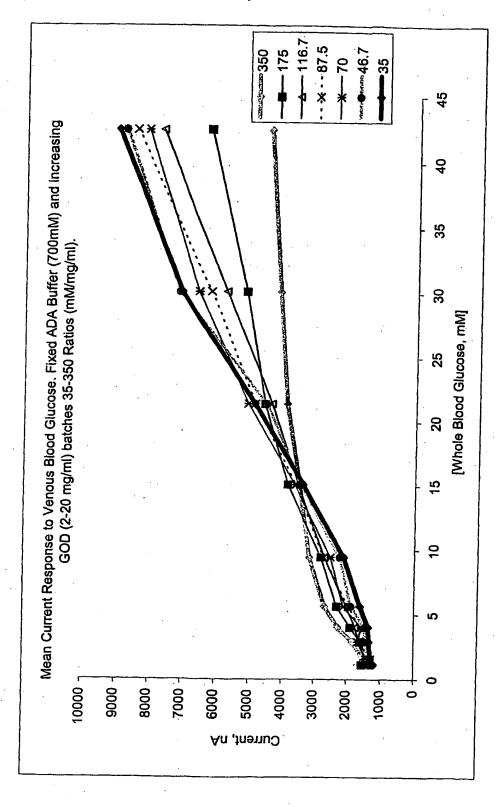


FIG. 18

## INTERNATIONAL SEARCH REPORT

Interna pplication No PCT/GB 03/02901

		1017 42 53,	
A. CLASSIFIC	ATION OF SUBJECT MATTER G01N27/327 C12Q1/00 C12Q1/26		
According to In	ternational Patent Classification (IPC) or to both national classification and	IPC	
Mhimum docu IPC 7	mentation searched (classification system rollowed by classification syste		
	n searched other than minimum documentation to the extent that such docu	iments are included in the fields search	thed
Flectronic dat	a base consulted during the International search (name of data base and,	where practical, search terms 4000)	
EPO-Int	ernal, WPI Data, PAJ, CHEM ABS Data, ME	DLINE, BIOSIS	
C. DOCUME	NTS CONSIDERED TO BE RELEVANT		Relevant to claim No.
Category °	Citation of document, with indication, where appropriate, of the relevant p	assades	
х	US 5 118 404 A (SAITO ATSUSHI) 2 June 1992 (1992-06-02)		1,17,27
A	the whole document  EP 0 636 879 A (MATSUSHITA ELECTRIC LTD) 1 February 1995 (1995-02-01)	IND CO	1,17,27
A	abstract  EP 0 375 363 A (SHINETSU CHEMICAL CO 27 June 1990 (1990-06-27)	1,17,27	
	abstract		
			·
		Patent family members are liste	d in annex.
F	urther documents are listed in the Committee L	<u> </u>	
Special categories of cited documents:  "T' later document published after the International filing date or priority date and not in conflict with the application but considered to be of particular relevance or priority date and not in conflict with the application but considered to be of particular relevance.  "E' earlier document but published on or after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention and the principle or theory underlying the invention cannot be considered novel or cannot be considered to be onsidered to be considered invention involve an inventive step when the document is taken abone.			
"L" doc wi cii "O" do	ng date ument which may throw doubts on priority claim(s) or under which is cited to establish the publication date of another within or other special reason (as specified) ument reterring to an oral disclosure, use, exhibition or	<ul> <li>document of particular relevance; the cannot be considered to involve an document is combined with one or ments, such combination being ob- in the art.</li> </ul>	e claimed invention inventive step when the more other such docu- rious to a person skilled
°P° do	current published prior to the international rung date out "8 ter than the priority date claimed	document member of the same pate Date of mailing of the International	
Date of	the actual completion of the International search	05/11/2003	
	27 October 2003	Authorized officer	
Name	and mailing address of the ISA  European Patent Offica, P.B. 5618 Patentlaan 2  NI. – 2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  Fax. (+31-70) 340-3016	Moreno, C	

#### INTERNATIONAL SEARCH REPORT

Information on patent family members

Internation No
PCT/GB 03/02901

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
US 5118404	A	02-06-1992	JP	2100078 C	22-10-1996
		•	JP	2287148 A	27-11-1990
			JP	8020409 B	04-03-1996
		•	JP	2926771 B2	28-07-1999
			JP	3065645 A	20-03-1991
EP 0636879	Α	01-02-1995	DE	69429640 D1	21-02-2002
			DE	69429640 T2	12-09-2002
			EP	0636879 A2	01-02-1995
	•		JP	3070818 B2	31-07-2000
•			JP	7083872 A	31-03-1995
		•	US	5658443 A	19-08-1997
			US	RE36991 E	19-12-2000
EP 0375363	A	27-06-1990	JP	2090428 C	18-09-1996
			ĴΡ	2166163 A	26-06-1990
			JP	7119363 B	20-12-1995
			DE	68920302 D1	09-02-1995
			DE	68920302 T2	11-05-1995
			EP	0375363 A2	27-06-1990
			FI	101155 B1	30-04-1998
			US	5082915 A	21-01-1992

# THIS PAGE BLANK (USPTO)

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

### **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:				
☐ BLACK BORDERS				
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES				
☐ FADED TEXT OR DRAWING				
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING				
☐ SKEWED/SLANTED IMAGES				
COLOR OR BLACK AND WHITE PHOTOGRAPHS				
GRAY SCALE DOCUMENTS				
☐ LINES OR MARKS ON ORIGINAL DOCUMENT				
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY				
□ OTHER:				

### IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

### THIS PAGE BLANK (USPTO)